



U.S. PATENT APPLICATION NO. 09/825,415  
DOCKET NO. T9849

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Haughn, et. al.  
SERIAL NO.: 09/825,415  
FILED: April 3, 2001  
FOR: SYSTEM AND METHOD FOR  
FACILITATING TRUSTED  
TRANSACTIONS BETWEEN  
BUSINESSES  
ART UNIT:  
EXAMINER:  
DOCKET NO.: Docket No. T9849

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this  
correspondence is being deposited  
with the United States Postal Service  
as First Class Mail, postage prepaid,  
under 37 C.F.R. § 1.8 on the date  
indicated below and is addressed to  
Assistant Commissioner of Patents,  
Washington, D.C. 20231.

*Steven M. Perry*

6/20/01  
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

  X   37 C.F.R. § 1.97 (b)(1), within three months of the filing date of the application, or  
before a first office action, whichever occurs last

\_\_\_\_\_ 37 C.F.R. § 1.97 (c)(1)(e), after three months of the filing date of the application but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either a promptness certification or the fee set forth in § 1.17(p)

\_\_\_\_\_ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by a promptness certification, a petition requesting consideration, and the petition fee set forth in fee set forth in § 1.17(p); and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

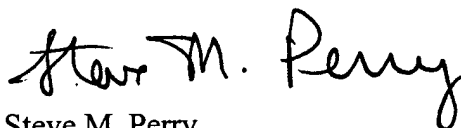
Assistant Commissioner of Patents  
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For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 20<sup>th</sup> day of June, 2001.

Respectfully submitted,

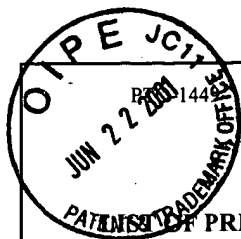


Steve M. Perry  
Attorney for Applicant  
Registration No. 45,357

THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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APPLICANT Haughan, et. al.

FILING DATE  
April 3, 2001

GROUP

PRIOR ART CITED BY APPLICANT

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AM	WO 00/55774	9/16/99	Int'l App. No. PCT/GB99/03091	G06F 17/60		
	AN						
	AO						
	AP						
	AQ						

### OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.